CURVES WITH INFINITELY MANY POINTS OF FIXED DEGREE

BY

Gerhard Frey

Institut für Experimentelle Mathematik, Universität GH Essen Ellernstr. 29, D-45326 Essen 12, Germany e-mail: mem010@de0hrz1a.bitnet

ABSTRACT

The d-th symmetric product $C^{(d)}$ of a curve C defined over a field K is closely related to the set of points of C of degree $\leq d$. If K is a number field, then a conjecture of Lang [Hi] proved by Faltings [Fa2] implies if $C^{(d)}(K)$ is an infinite set, then there is a K-rational covering of $C \to \mathbb{P}^1_{|K|}$ of degree $\leq 2d$. As an application one gets that for fixed field K and fixed d there are only finitely many primes l such that the set of all elliptic curves defined over some extensions L of K with $[L:K] \leq d$ and with L-rational isogeny of degree l is infinite.

Let K be a field with absolute Galois group G_K , and C/K a projective absolutely irreducible regular curve with Jacobian variety J = J(C). For $d \in \mathbb{N}$ let C^d be the direct product of d copies of C. Divide C^d by the symmetric group S_d to get the d-th symmetric product $C^{(d)} = C^d/S_d$ of C. Let \tilde{K} be the algebraic closure of K. Then $C^{(d)}(\tilde{K})$, the set of algebraic points of $C^{(d)}$, corresponds one-to-one to the set $\{P_1 + \cdots + P_d; P_i \in C(\tilde{K})\}$ of positive \tilde{K} -rational divisors of degree d of C. Throughout the whole paper we will assume that C has a K-rational point P_0 .

Let L be an extension field of K which is separable over K with $n_L = [L:K] \leq d$. Let Q be an L-rational point of C. We call Q a point of C of degree $\leq d$. Let $\tau_1, \ldots, \tau_{n_L}$ be the different embeddings of L into K_s over K and $Q_i = \tau_i(Q)$. Then $Q_1 + \cdots + Q_{n_L} + (d - n_L) \cdot P_0$ is a K-rational point of $C^{(d)}$. In particular, $C^{(d)}(K)$ is an infinite set if and only if C has infinitely many points of degree $\leq d$ over K.

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Hence the G_K -conjugacy classes of points of C of degree $\leq d$ can and will be interpreted as subsets of $C^{(d)}(K)$. Define

$$\Phi: C^{(d)} \longrightarrow J$$

by $\Phi(P_1 + \cdots + P_d) = [P_1 + \cdots + P_d - dP_0]$ where [] denotes the divisor class. The image W_d of Φ is a closed subscheme of J. Let n(d) be the number of solutions of the equation

$$\sum_{i=1}^{a} \epsilon_i = d \text{ with } \epsilon_i \in \{0, 1, 2\}.$$

A very easy observation is:

PROPOSITION 1:

- i) Assume that $\Phi_{|C^{(d)}(K)}$ is not injective. Then there is a K-rational covering $\pi: C \to \mathbb{P}^1_{|K}$ of degree $\leq d$.
- ii) Assume that there is a point $\mathbf{P} \in C^{(d)}(K)$ and at least n(d) + 1 elements $b_0, \ldots, b_{n(d)} \in J(K_s)$ such that $\Phi(\mathbf{P}) \pm b_i \in W_d(K_s)$. Then there is a K-rational covering $\pi : C \to \mathbb{P}^1_{|K|}$ of degree $\leq 2d$.

Proof: i) If $\Phi(P_1 + \cdots + P_d) = \Phi(Q_1 + \cdots + Q_d)$, then $[P_1 + \cdots + P_d - dP_0] = [Q_1 + \cdots + Q_d - dP_0]$ and hence the K-rational divisor $P_1 + \cdots + P_d$ is linearly equivalent to the (different) divisor $Q_1 + \cdots + Q_d$, and so there is a non-constant function $f \in K(C)$, the function field of C, with a pole divisor of degree $\leq d$. Hence $[K(C) : K(f)] \leq d$.

ii) By assumption, for each $0 \le i \le n(d)$ there exist $Q_{ij}, R_{ij} \in C(K_s), j = 1, \dots, d$ such that

$$\Phi(Q_{i1} + \dots + Q_{id}) = \Phi(\mathbf{P}) + b_i,$$

$$\Phi(R_{i1} + \dots + R_{id}) = \Phi(\mathbf{P}) - b_i.$$

Hence, $[Q_{i1} + \dots + Q_{id} + R_{i1} + \dots + R_{id}] = [2\mathbf{P}].$

Let $Q_1, \ldots, Q_d, R_1, \ldots, R_d$ be points in C such that

(1)
$$Q_1 + \dots + Q_d + R_1 + \dots + R_d = 2(P_1 + \dots + P_d).$$

Suppose that P_1, \ldots, P_d are distinct. Denote the number of occurrences of P_j in the *d*-tuple (Q_1, \ldots, Q_d) by ϵ_j . Then $0 \le \epsilon_j \le 2$ and $\sum_{j=1}^d \epsilon_j = d$. Hence, the number of $(Q_1, \ldots, Q_d) \in C^{(d)}(K_s)$ for which there exist $(R_1, \ldots, R_d) \in C^{(d)}(K_s)$ such that (1) holds is n(d). If P_1, \ldots, P_d are not necessarily distinct, this number

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is at most n(d). Since $b_0, \ldots, b_{n(d)}$ are distinct, there exists at least one *i* such that

$$Q_{i1} + \cdots + Q_{id} + R_{i1} + \cdots + R_{id} \neq 2\mathbf{P}.$$

It follows that the dimension of the space $L_{K_s}(2\mathbf{P})$ of K_s -rational functions with pole divisor $\leq 2\mathbf{P}$ has dimension > 1. As this dimension is invariant under separable extensions of the field of constants $\dim_K L_K(2\mathbf{P}) > 1$. Hence there is a K-rational non-constant function f with pole divisor dividing $2\mathbf{P}$ and so $[C(K):K(f)] \leq 2d$.

COROLLARY 1: Assume that for a $\mathbf{P} \in C^{(d)}(K)$ the scheme

$$W_d - \Phi(\mathbf{P})$$

contains a subgroup of $J(K_s)$ of order > n(d).

- i) If K is an infinite field, then C has infinitely many points of degree $\leq 2d$.
- ii) Let K be a finite field with q elements. Then $|C(K)| \leq 2d(q+1)$.

Proof: The assumptions of the corollary imply assumption ii) of the proposition and so we know that there is a K-rational covering map

$$\pi: C \longrightarrow \mathbb{P}^1_{|K}$$
 of degree $\leq 2d$.

Hence for all $P_0 \in \mathbb{P}^1(K)$ we have: $\#\{\pi^{-1}(P_0) \cap C(K)\} \leq 2d$ and hence ii) follows, and for $P \in \pi^{-1}(P_0)(K_s)$ we have degree $(P) \leq 2d$, and so i) follows.

Much deeper than proposition 1 is kind of a converse of the corollary for special fields K. We restrict ourselves to number fields.

PROPOSITION 2: Assume that K is a number field and that C has infinitely many points of degree $\leq d$ over K. Then there is a K-rational covering $\pi: C \to \mathbb{P}^1_{|K}$ of degree $\leq 2d$.

Proof: If $\Phi_{|C^{(d)}(K)}$ is not injective, the assertion of the proposition follows from proposition 1. So we can assume that $W_d(K)$ is an infinite set. Faltings ([Fa2]) proved that there are finitely many elements x_1, \ldots, x_n of $W_d(K)$ such that $W_d(K) = \bigcup_{i=1}^n [x_i + A_i(K)]$ with A_i abelian subvarieties of J. Thus, there exists i such that $A_i(K)$ is infinite and $x_i + A_i(K) \subseteq \Phi(C^{(d)}(K))$. In particular there exist $b_0 \in A_i(K)$ and $P \in C^{(d)}(K)$ such that $x_i + b_0 = \Phi(P)$. For each

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 $a \in A_i(K)$ we have $\Phi(P) \pm a = x_i + (b_0 \pm a) \in W_d(K_s)$. Since $A_i(K)$ is infinite, the assumption of ii) of Proposition 1 is satisfied. Hence, it conclusion is also satisfied.

Remark: There are curves with infinitely many points of degree d and with 2d as minimal covering of degree 2d over \mathbb{P}^1 . As examples one can take coverings of degree d of elliptic curves. For $d \leq 3$ these are the only possibilities (cf. [A-H]). D. Abramovich announced in [A] that for large d there are examples of curves C for which $C^{(d)}$ has Abelian subvarieties with minimal covering degree 2d over \mathbb{P}^1 which have no elliptic subfield.

Now we will give an arithmetical application of the results proved above.

Let K be a number field and \wp a prime divisor of K with residue field k_{\wp} . For each *i* in a set I let C_i be a curve defined over K of genus g_i . The following definition is motivated by coding theory (cf. [F-P-S]):

Definition: $(C_i)_{i \in I}$ behaves asymptotically good at \wp , if

- a) all curves C_i have good reduction $C_i^{(p)} \mod p$ and
- b) $\liminf_{i \in I} |C_i^{(p)}(k_p)| = \infty.$

PROPOSITION 3: We assume that $(C_i)_{i \in I}$ behaves asymptotically good at \wp . Then for all $d \in \mathbb{N}$ there are only finitely many $i \in I$ such that C_i has infinitely many points of degree $\leq d$.

Proof: If $(C^i)^{(d)}(K)$ is infinite, then there is a covering

$$\pi_i: C^i \to \mathbb{P}^1_{|K}$$

with degree $(\pi_i) \leq 2d$. By reduction theory (cf. [Deu]) this implies that there is a k_p -rational covering $\pi^p : (C^i)^{(p)} \to \mathbb{P}^1_{|k_p|}$ of degree $\leq 2d$, too, and hence $|C^i(k_p)| \leq 2d(|k_p|+1)$, and by assumption this can occur only finitely often.

COROLLARY 2: For $d \in \mathbb{N}$ we have: For all prime numbers l > 120d there are only finitely many elliptic curves defined over number fields L with $[L : \mathbb{Q}] \leq d$ having an L-rational isogeny of degree l.

Proof: Take $K = \mathbb{Q}(\sqrt{5})$. Then 2 generates a prime ideal in the ring of integers of K with quotient field of 4 elements. The family of curves

$$(X_0(l))_{l \text{ an odd prime}}$$

behaves asymptotically good at the prime corresponding to 2; and one knows that $|X_0(l)^{(\wp)}(k_{\wp}| \ge \left[\frac{l}{12}\right] + 1$. So we can apply the proof of proposition 3 and get: If $2d \cdot 5 < \frac{l}{12}$ then $X_0(l)^{(d)}(K)$ is finite. Since the *L*-rational points of $X_o(l)$ parametrize elliptic curves defined over *L* with *L*-rational isogeny of degree *l*, the corollary follows.

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